



3673

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/815,437
	Filing Date	03/22/2001
	First Named Inventor	Bartlett
	Group Art Unit	3673
	Examiner Name	
Total Number of Pages in This Submission	4 + IDS Refs.	Attorney Docket Number 66-12272

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		<b>RECEIVED</b> DEC 21 2001  <b>GROUP 3600</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Henry C. Query, Jr.
Signature	
Date	12/06/2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 12/06/2001			
Typed or printed name	Henry C. Query, Jr.		
Signature		Date	12/06/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



In the United States Patent and Trademark Office

Application No.: 09/815,437

Filing Date: 03/22/2001

First Named Inventor: Bartlett

Attorney Docket No.: 66-12272

Group Art Unit: 3673

Examiner Name:

RECEIVED

DEC 21 2001

Henry C. Query, Jr.  
504 S. Pierce Avenue  
Wheaton, Illinois 60187

GROUP 3600

Assistant Commissioner for Patents  
Washington, DC 20231

Information Disclosure Statement

In accordance with 37 CFR 1.97 and 1.98, enclosed are a form PTO 1449 and a copy of each of the references listed thereon.

- ☒ Pursuant to 37 CFR 1.97(b), this Information Disclosure Statement is being filed:
- ☐ within three months of the filing date of the above-referenced application; or
  - ☐ within three months of the date of entry of the national stage as set forth in 37 CFR 1.491; or
  - ☒ before the mailing of a first Office Action on the merits; or
  - ☐ before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- ☐ Pursuant to 37 CFR 1.97 (c), this Information Disclosure Statement is being filed before the mailing date of a final action under 37 CFR 1.113, a notice of allowance under 37 CFR 1.311 or an action that otherwise closes prosecution in the application, and:
- ☐ the fee set forth in 37 CFR 1.17(p) is enclosed herewith; or
  - ☐ one of the statements in the Certification below applies.
- ☐ Pursuant to 37 CFR 1.97 (d), this Information Disclosure Statement is being filed before the payment of the issue fee in this application and:
- ☐ the fee set forth in 37 CFR 1.17(p) is enclosed herewith; and
  - ☐ one of the statements in the Certification below applies.

Certification

- ☐ The undersigned certifies that each item of information contained herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date hereof.
- ☐ The undersigned certifies that no item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained herein was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the date hereof.

Respectfully Submitted,

Henry C. Query, Jr.  
Reg. No. 35,650  
(630) 260-8093  
Date: December 6, 2001

#4  
IDS  
ABW  
DEC.  
21, 2001